

PATENT
2907-101P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: NIEBOER et al.

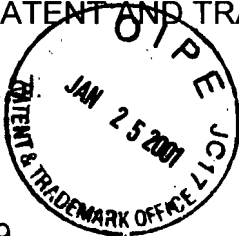
Appl. No.: 09/359,686

Filed: July 23, 1999

For: AUTOMATED SYSTEM FOR CONDITIONAL
ORDER TRANSACTIONS IN SECURITIES OR
OTHER ITEMS IN COMMERCE

Group: 2165

Examiner: THOMPSON JR, F.



#4/a
S. Cotton
2-1-01

RECEIVED
JAN 31 2001
Technology Center 2100

AMENDMENT

Assistant Commissioner for Patents
Washington, DC 20231

January 24, 2001

Sir:

Responsive to the Office Action dated October 24, 2000, to which a response is due January 24, 2001, the following amendments and remarks are respectfully submitted in connection with the above-identified application.

IN THE CLAIMS

Cancel claim 19 without prejudice or disclaimer of the subject matter therein.

Amend the claims as follows:

1. (amended) A conditional order transaction network that matches or compares buy and sell orders for a plurality of security instruments based upon conditions set forth within the order, including [the] price represented as an algorithm with constraints thereon, the transaction network comprising:

01/29/2001 MYUSUF1 00000063 09359686

01 29 2001
09 35 9686

18.00 OP
40.00 OP

A